

Message Text

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ACTION EB-08

INFO OCT-01 CIAE-00 COME-00 DODE-00 NSAE-00 USIA-06 TRSE-00
EA-07 ERDA-05 ISO-00 (ISO) W
-----040531Z 016185 /63
R 040145Z MAR 77
FM AMEMBASSY TOKYO
TO SECSTATE WASHDC 5859

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EXCON

E.O. 11652: N/A
TAGS: ESTC, JA
SUBJECT: SUSPECTED DIVERSION TO PRC; OEA/CD INVESTIGATION
CASE 9(76)-8

REF: A) STATE 037842 B) 76 TOKYO 14505

1. IN RESPONSE TO EMBASSY'S FURTHER INQUIRY, MR. SHIMADA, ACTING MANAGER, SALES DIV, ELECTRONIC EQUIPMENT DEPT OF DAINIPPON SCREEN MFG. CO., LTD. (DSM) STATED AS FOLLOWS: (1) MACRODATA'S EXPORT OF ITS SYSTEMS TO JAPAN BEGAN IN 1971 WHEN DSM WAS APPOINTED SOLE IMPORT AGENT IN JAPAN; (2) ACCORDINGLY, TO DSM'S BEST KNOWLEDGE, NO MACRODATA-MADE SYSTEMS HAVE BEEN IMPORTED INTO JAPAN THROUGH ANY OTHER CHANNEL; (3) THE TOTAL NUMBER OF MD-154'S IMPORTED BY DSM FROM MACRODATA IS FIVE UNITS, OF WHICH FOUR WERE DELIVERED TO FUJITSU KAWASAKI PLANT; AND (4) REMAINING ONE MD-154 IMPORTED IN JUNE 1975 WITH KYODO TOKO K. K. (NO. 1 OYAJI, KOFUNE, SHIOKAWA-CHO, MAYA-GUN, FUKUSHIMA PREF., JAPAN) AS PROSPECTIVE END USER IS BEING KEPT AT DSM'S TOKYO OFFICE BECAUSE KYODO TOKO, A SEMI-CONDUCTOR MAKER, CANCELLED ITS ORDER AND DSM HAS FAILED TO FIND ANOTHER CUSTOMER.

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2. WE REVISITED FUJITSU KAWASAKI PLANT, NO. 1015, KAMIODANAKA, NAKAHARA-KU, KAWASAKI CITY, KANAGAWA PREF., ON FEBRUARY 25 AND CONFIRMED ON THE SPOT THAT THE FOUR MD-154 LSI TEST SYSTEMS WERE BEING USED BY RESPECTIVE SECTIONS OF THE PLANT MENTIONED IN PARA-2 OF REFTTEL B WITH NO CHANGE AT ALL SINCE OUR LAST ON-SITE INSPECTION IN SEPTEMBER 1976. UNIT SPECIFIC INFORMATION IS AS

FOLLOWS (NOTE: A AND B PARENTHESES BELOW DENOTE THE MONTH AND YEAR OF IMPORTATION BY DSM AND FINAL PURCHASE BY FUJITSU KAWASAKI RESPECTIVELY): (1) MD-154-24 (SERIAL NO. 1219) (A: NOV 1973; B: JAN 1974) AND MD-154 (SERIAL NO. 5417) (A: FEB 1975; B: MAR 1975) AT SECOND INSPECTION SECTION, QUALITY CONTROL DEPT FOR TESTING IC MEMORIES; (2) MD-154-24 (SERIAL NO. 5408) (A: AUG 1974; B: SEPT 1974) AT SECOND MEMORY LOGIC LSI SECTION, FIRST COMPUTER CIRCUIT ENGINEERING DEPT FOR R&D PURPOSES; AND (3) MD-154A-48 (SERIAL NO. 5428) (A AND B: AUG 1976) AT TECHNOLOGY SECTION, DEVELOPMENT TECHNOLOGY DEPT FOR R&D PURPOSES. (NOTE: MINOR CHANGES IN NAMES OF SOME SECTIONS ABOVE FROM THOSE IN PARA-2 OF REFTEL B RESULT FROM A PLANT REORGANIZATION AT END OF LAST YEAR, BUT LOCATIONS OF THESE FOUR MD-154'S WERE EXACTLY SAME AS LAST SEPTEMBER).

3. WE ALSO VISITED DSM TOKYO OFFICE, NO. 5-38-7, HIGASHI Ikebukuro, Toshima-Ku, Tokyo ON MARCH 1 AND INSPECTED ONE MD-154 (SERIAL NO. 5420).

4. EMBASSY DID NOT CONTACT TOKYO BOEKI LTD. INFO IN PARA-1 OF REFTEL A SUGGESTS POSSIBILITY THAT THE MD-154 WAS IMPORTED BY SOMEONE FROM MACRODATA, BESIDES ABOVE FIVE UNITS IMPORTED BY DSM, AND DIVERTED BY TOKYO BOEKI TO PRC. IF OEA/CD COULD PROVIDE INFO ON BASIS OF WHICH WE COULD CONTACT TOKYO BOEKI WE WILL SO DO.

5. MR. SHIMADA OF DSM ALSO STATED THAT THE SYSTEMS LIMITED OFFICIAL USE

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IMPORTED BY DSM FROM MACRODATA TO DATE TOTALED 42 UNITS OF SEVEN MODELS, INCLUDING 15 UNITS OF SIX MODELS (FOUR MD-154'S IN PARA 2 ABOVE, THREE MD-100'S, THREE MD-104'S, ONE MD-107, THREE MD-150'S AND ONE MD-500) DELIVERED TO FUJITSU KAWASAKI PLANT. IN OUR ON-SITE INSPECTION AT FUJITSU KAWASAKI PLANT, WE ALSO CONFIRMED THE EXISTENCE OF THE ELEVEN OTHER UNITS OF FIVE MODELS AS FOLLOWS:

(1) MD-100-1 (SERIAL NO. 68) (A: JAN 1972; B: MAR 1972), MD-104 (SERIAL NO 46) (A: OCT 1973; B: DEC 1973) AND MD-150-24 (SERIAL NO. 7415) (A: JAN 1973; B: MAR 1973) AT SECOND MEMORY AND LOGIC LSI SECTION, FIRST COMPUTER CIRCUIT ENGINEERING DEPT FOR R&D PURPOSES; (2) MD-100 (SERIAL NO. 93) (A: FEB 1973; B: MAR 1973), MD-104 (SERIAL NO. 4008) (A: JAN 1973; B: MAR 1973), ANOTHER MD-104 (SERIAL NO. 30) (A: JUN 1974; B: SEPT 1974), AND MD-150-24 (SERIAL NO. 7415) (A: SEPT 1972; B: MAR 1973) AT SIXTH DRAWING SECTION, IC DRAWING DEPT, ALL FOR R&D PURPOSES; (3) MD-100 (SERIAL NO. 56) (A: SEPT 1972; B: NOV 1972) AT FIFTH DRAWING SECTION,

ELECTRONIC EXCHANGER SECOND HARDWARE DEPT FOR R&D
PURPOSES; (4) MD-107 (SERIAL NO. 1726) (A: OCT 1976;
B: JAN 1977) AT TECHNOLOGY SECTION, DEVELOPMENT
TECHNOLOGY DEPT FOR TESTING PRINTING CIRCUIT BOARDS;
(5) MD-150 (SERIAL NO. 1507) (A: MAR 1975; B: MAR
1975) AT SECOND INSPECTION SECTION, QUALITY CONTROL DEPT
FOR INSPECTING IC MEMORIES; AND (6) MD-500-64 (SERIAL
NO. 501) (A: MAR 1974; B: MAR 1974) AT FIRST MEMORY
AND LOGIC LSI SECTION, FIRST COMPUTER CIRCUIT ENGINEERING
DEPT FOR R&D PURPOSES. THERE WAS NO DISCREPANCY BETWEEN
THE DATE OF IMPORT STATED BY DSM AND THAT OF PURCHASE
STATED BY FUJITSU KAWASAKI PLANT IN ALL OF ABOVE 15 UNITS.
(NOTE: REASON WHY MACRODATA PUT THE SAME SERIAL NO. 7415
ON TWO MD-150-24'S IN (1) AND (2) ABOVE WAS UNKNOWN TO
BOTH DSM AND FUJITSU KAWASAKI PLANT). FUJITSU KAWASAKI
PLANT REPRESENTATIVES ASSURED US THAT THEIR PLANT PURCHASED
ABOVE 15 UNITS FROM DSM AS END USER AND HAS NO INTENTION
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TO RESELL OR RE-EXPORT ANY OF THE 15 UNITS TO ANY THIRD
PARTY, AND THAT THEIR PLANT IS NOT SCHEDULED TO PURCHASE
MORE MACRODATA SYSTEMS IN FORESEEABLE FUTURE

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ACTION EB-08

INFO OCT-01 CIAE-00 COME-00 DODE-00 NSAE-00 USIA-06 TRSE-00
EA-07 ERDA-05 ISO-00 (ISO) W
-----141504Z 024459 /63
R 040145Z MAR 77
FM AMEMBASSY TOKYO
TO SECSTATE WASHDC 5860

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6. FOR SUPPLEMENTARY INFORMATION OF OEA/CD, EMBASSY
ALSO OBTAINED DSM'S STATEMENT THAT REMAINING 26 UNITS OF

FIVE MODELS IMPORTED FROM MACRODATA, EXCLUDING ABOVE 15 UNITS TO FUJITSU KAWASAKI PLANT AND ONE MD154 BEING KEPT BY DSM, WERE DELIVERED BY DSM TO 17 PLANTS OF 15 FIRMS ALL OF WHICH ARE DOMESTIC END-USERS AS FOLLOWS (NOTE: PARENTHESES BELOW ARE THE MONTH AND YEAR IMPORTED BY DSM): (1) 15 MD-100'S TO EIGHT FIRMS, I.E. (A) FOUR UNITS (SERIAL NO. 167, 219, 225 AND 230) (JUL AND OCT 1973) TO KYODO TOKO K. K., (SEE PARA 1-(4) ABOVE FOR ADDRESS), (B) TWO SERIAL NO. 224 AND 229 (JUN AND AUG 1973) TO TOKO CO., LTD. (NO. 18, GOMIGAYA, TSURUGASHIMA-CHO, IRUMA-GUN, SAITAMA PREF.), (C) TWO (SERIAL NO. 179 AND 226) (FEB 1973 AND MAR 1976) TO KAMAKURA WORKS (NO. 325, KAMIMACHIYA, KAMAKURA CITY, KANAGAWA PREF.) OF MITSUBISHI ELECTRIC CORP., (D) TWO (SERIAL NO. 84 AND 146) (SEPT 1972 -,\$ ', 1974), ONE EACH TO FUCHU PLANT (NO. 1-10, NISSHIN-CHO, FUCHU CITY, TOKYO) AND MITA PLANT (NO. 5-33-7, SHIBA, MINATO-KU, TOKYO) OF NIPPON ELECTRIC CO., LTD., (E) TWO (SERIAL NO. 247 - THE OTHER'S NUMBER IS UNAVAILABLE) (1971 - MONTH IS UNAVAILABLE AND JAN 1974), ONE EACH TO MUSHASHI PLANT (NO. 1450, JOSUI HONMACHI, KODAIRA CITY, TOKYO) AND LIMITED OFFICIAL USE

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CENTRAL RESEARCH INSTITUTE (NO. 1-280, HIGASHI KOIGAKUBO, KOKUBUNJI CITY, TOKYO) OF HITACHI LTD., (F) ONE SERIAL NO. 160 (FEB 1973) TO OUME PLANT (NO. 2-9, SUEHIRO-CHO, OUME CITY, TOKYO) OF TOKYO SHIBAURA ELECTRIC CO., LTD., (G) ONE (SERIAL NO. 87) (MAR 1972) TO OKI UNIVAC CO., LTD. (NO. 2826-4, MITSUGI, MURAYAMA-CHO, KITATAMA-GUN, TOKYO), AND (H) ONE (SERIAL NO. 108) (MAR 1972) TO NIPPON TELEGRAM AND TELEPHONE CORP. (NO. 3-9-11, MIDORI-CHO, MUSASHINO CITY, TOKYO); (2) ONE MD-104 (MAR 1974) TO TOSHIBA RESEARCH INSTITUTE (NO. 1, TOSHIBA-CHO, KOMUKAI, SAIWAI-KU, KAWASAKI CITY, KANAGAWA PREF.); (3) SIX MD-180'S, ONE EACH TO (A) KYODO ELECTRONIC TECHNOLOGY RESEARCH INSTITUTE (NO. 2-11-8, SOHNAN, SAGAMIHARA CITY, KANAGAWA PREF.) (APR 1974), (B) MATSUSHITA GIKEN K. K. (NO. 4896, IKUTA, TAMA-KU, KAWASAKI CITY, KANAGAWA PREF.) (MAR 1974), (C) TOKYO SANYO DENKI K. K. (NO. 180, SAKATA, OHIZUMI-CHO, OHRA-GUN, GUMMA PREF.) (NOV 1973), (D) TOYOOKA PLANT (NO. 203, MATSUNOKISHITA, TOYOOKA-MURA, IWATA-GUN, SHIZUOKA PREF.) OF NIPPON GAKKI SEIZO K. K. (OCT 1973), (E) CANNON K.K. (NO. 2-94, SHIMOMARUKO, OHTA-KU, TOKYO) (SEPT 1972), AND (F) TAMAGAWA PLANT (NO. 1753, SHIMONUMABE, NAKAHARA-KU, KAWASAKI CITY, KANAGAWA PREF.) OF NIPPON ELECTRIC CO., LTD. (OCT 1971); (4) ONE MD-150 TO TOSHIBA RESEARCH INSTITUTE (SEE PARA 7-(2) ABOVE FOR ADDRESS) (SEPT 1972); AND (5) THREE MD-500'S, ONE EACH TO (A) TOSHIBA RESEARCH INSTITUTE (SEE PARA 7-(2) FOR ADDRESS) (JAN 1975),

(B) MATSUSHITA DENKO K. K. (NO. 1, KOTARIYAKI-MACHI, NAGAOKAKYO CITY, KYOTO PREF.) (AUG 1974), AND (C) TOKYO SANYO DENKI K.K. (SEE PARA 7-(3)-(C) FOR ADDRESS) (JAN 1974). (NOTE: DSM STATED THAT SERIAL NUMBERS OF THOSE OTHER THAN MD-100'S LISTED IN PARA 7-(2) THROUGH (5) ABOVE ARE NOT IMMEDIATELY AVAILABLE FROM THEIR OFFICE AND SUGGESTED IT WOULD BE EASIER TO OBTAIN THE NUMBERS FROM EACH AND USER ABOVE-MENTIONED, IF NEEDED BY OEA/CD.)

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7. AS REGARDS SIMILAR U.S.-ORIGIN EQUIPMENT DELIVERED BY DSM TO FUJITSU KAWASAKI PLANT MENTIONED IN PARA 3 OF REFTTEL A, DSM STATED THAT ONLY U.S.-ORIGIN EQUIPMENT SOLD TO THE SAID PLANT TO DATE WERE: (1) SEVEN ALIGNERS IMPORTED FROM CASPAR INSTRUMENTS INC., I.E. (A) FIVE MODEL 2001-C'S (SERIAL NO. 7335, 7336 AND 7337 IMPORTED IN SEPT 1973, NO. 7341 IN OCT 1973 AND NO. 7434 IN DEC 1973) AND (B) TWO MODEL 2510'S (SERIAL NO. 5009 IN DEC 1974 AND NO. 5010 IN JAN 1975); AND (2) ONE REPEATER AND ONE LENGTH MEASURING EQUIPMENT IMPORTED FROM ELECTRO-MASK ABOUT THREE AND FIVE YEARS AGO RESPECTIVELY, THOUGH THEIR SERIAL NUMBERS AND EXACT DATE OF IMPORT ARE NOT IMMEDIATELY AVAILABLE.
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Message Attributes

Automatic Decaptioning: X
Capture Date: 01-Jan-1994 12:00:00 am
Channel Indicators: n/a
Current Classification: UNCLASSIFIED
Concepts: INVESTIGATIONS, TRANSACTION CHECKS, STRATEGIC TRADE CONTROLS
Control Number: n/a
Copy: SINGLE
Sent Date: 04-Mar-1977 12:00:00 am
Decaption Date: 01-Jan-1960 12:00:00 am
Decaption Note:
Disposition Action: RELEASED
Disposition Approved on Date:
Disposition Case Number: n/a
Disposition Comment: 25 YEAR REVIEW
Disposition Date: 22 May 2009
Disposition Event:
Disposition History: n/a
Disposition Reason:
Disposition Remarks:
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Document Source: CORE
Document Unique ID: 00
Drafter: n/a
Enclosure: n/a
Executive Order: N/A
Errors: N/A
Expiration:
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Format: TEL
From: TOKYO
Handling Restrictions: n/a
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Office: ACTION EB
Original Classification: LIMITED OFFICIAL USE
Original Handling Restrictions: n/a
Original Previous Classification: n/a
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Page Count: 5
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Reference: 77 STATE 37842, 76 TOKYO 14505
Retention: 0
Review Action: RELEASED, APPROVED
Review Content Flags:
Review Date: 10-Mar-2005 12:00:00 am
Review Event:
Review Exemptions: n/a
Review Media Identifier:
Review Release Date: n/a
Review Release Event: n/a
Review Transfer Date:
Review Withdrawn Fields: n/a
SAS ID: 3201079
Secure: OPEN
Status: NATIVE
Subject: SUSPECTED DIVERSION TO PRC; OEA/CD INVESTIGATION CASE 9(76)-8
TAGS: ESTC, JA, CH
To: STATE
Type: TE
vdkgvwkey: odb://SAS/SAS.dbo.SAS_Docs/fbce12bd-c288-dd11-92da-001cc4696bcc
Review Markings:
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22 May 2009
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